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**FAX TO: ISAAC, STANETTA D
ART UNIT: 2812**

**Tel: (571) 272-1671
Fax: (703) 872-9306**

FROM: Winston Hsu, PATENT AGENT, REG. NO. : 41,526

SERIAL NO.: 10/605,232

ATTORNEY DOCKET NO.: TOPP0009USA

**SUBJECT: REQUEST TO CANCEL A DUPLICATE
APPLICATION CREATED BY EFS AND TO
REFUND THE FILING FEE**

TOTAL PAGES: 13 PAGES (INCLUDING COVER PAGE)

Winston Hsu 2004/10/07

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CEIP0040USA

PTO/SB/97 (09-04)

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(1) Transmittal form	1 PAGE
(2) Fee transmittal	1 PAGE
(3) A statement	2 PAGES
(4) A copy of a the acknowledgement receipt	3 PAGES
(5) A copy of deposit account statement of No. 500801	4 PAGES

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/21 (09-04)

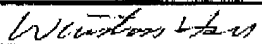
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TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/605,232	
	Filing Date	09/17/2003	
	First Named Inventor	Hui-Chu Lin	
	Art Unit	2812	
	Examiner Name	ISAAC, STANETTA D	
Total Number of Pages in This Submission	11	Attorney Docket Number	TOPP0009USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the Acknowledge Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. A copy of the deposit account statement
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	Request to cancel a duplicate application created by EFS and to refund the filing fee	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature			
Printed name	Winston Hsu		
Date	October 7, 2004	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

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FEE TRANSMITTAL
for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete if Known**

Application Number	10/605,232
Filing Date	09/17/2003
First Named Inventor	Hui-Chu Lin
Examiner Name	ISAAC, STANETTA D
Art Unit	2812
Attorney Docket No.	TOPP0009USA

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	50-3105
Deposit Account Name	North America Intellectual Property Corp.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 880	2253 490	Extension for reply within third month	
1254 1,530	2254 785	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 480	2502 245	Design issue fee	
1503 660	2503 330	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00**SUBMITTED BY**

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526	Telephone	886-2-89237350
Signature	<i>Winston Hsu</i>	Date	October 7, 2004		

(Complete if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 07 2004

Applicants: Hui-Chu Lin
Examiner: ISAAC, STANETTA D
Filing Date: 09/17/2003
Art Unit: 2812
App. No.: 10/605,232
Docket No.: TOPP0009USA

Title: METHOD OF FABRICATING LOW TEMPERATURE
POLYSILICON THIN FILM TRANSISTOR

To: Office of Initial Patent Examination
P.O. Box 1450
Alexandria VA 22313-1450

Subject: Request to cancel a duplicate application created by EFS and to refund
the filing fee \$790

Dear Sir/Madame:

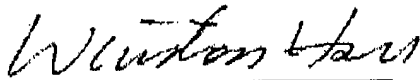
At 9/17/2003 when the undersigned patent agent used the EFS to file a non-provisional patent application (docket number: TOPP0009USA), an error occurred in the electronically filing process which created two identical non-provisional patent applications 10/605,232 and 10/605,233. During the electronic filing process, the undersigned agent only received one Acknowledge Receipt of the patent application 10/605,233 (Attachment 1) and had no knowledge at all about the existence of the duplicate patent application 10/605,232. Two months after the electronic filing process, the undersigned agent received two filing receipts of the two patent applications on 11/27/2003. The undersigned agent believed that the error was caused by the EFS system and not by the undersigned agent because only one acknowledgement receipt was formally created by the EFS system during the

electronic filing process.

The undersigned agent started calling the electronic business center and various OIPE personnel trying to get the duplicate patent application 10/605,232 canceled. After a lengthy calling and waiting process, nothing happened and the final message from a supervisor of OIPE (Dclora Dillard, 703-305-7654) was that the undersigned agent must make a written request to cancel the duplicate patent application 10/605,232.

The undersigned agent hereby requests the USPTO to cancel the duplicate patent application 10/605,232 and refund the fee \$790 paid for this application (Attachment 2) to the deposit account no. 500801.

Respectfully submitted,



Date: October 7, 2004

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506

Merrifield, VA 22116

U.S.A.

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

(Please contact me by e-mail if you need a telephone communication and I will return your call promptly.)

UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

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Electronic Version 1.1

Stylesheet Version v1.1.1

OCT 07 2004

Title of Invention	METHOD OF FABRICATING LOW TEMPERATURE POLYSILICON TI TRANSISTOR																												
Submission Type: Utility Patent Filing																													
Application Number:	10/605233 *10,																												
EFS ID:	47848																												
Server Response:	<table border="1"><thead><tr><th>Confirmation Code</th><th>Message</th></tr></thead><tbody><tr><td>ISVR1</td><td>Submission was successfully submitted - Even if Informational or Warning Messages appear below not resubmit this application</td></tr><tr><td>ICON1</td><td>2232</td></tr></tbody></table>	Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below not resubmit this application	ICON1	2232																						
Confirmation Code	Message																												
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ICON1	2232																												
First Named Applicant: Hui-Chu Lin																													
Attorney Docket Number: TOPP0009USA																													
Timestamp: 2003-09-17 03:43:23 EDT																													
From: us																													
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Total files size	

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Government,c=US



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Trademark Office**

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Deposit Account Statement

Requested Statement Month:

September 2003

Deposit Account Number:

500801

Name:

NAIPO

Attention:

WINSTON HSU

Address:

P.O. BOX 506

City:

MERRIFIELD

State:

VA

Zip:

22116-0506

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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07-10-04 18:21

從- WINSTON HSU, NO. 41526

8064986673

T-711 P11/13 U-880

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09/08 5	10249893	ADTP0114USA	1201	-\$168.00	\$6,013.92
09/08 6	10249893	ADTP0114USA	1202	-\$90.00	\$6,103.92
09/08 24	10605075	ACMP0127USA	1001	\$750.00	\$5,353.92
09/08 25	10605075	ACMP0127USA	8021	\$40.00	\$5,313.92
09/08 26	10605076	NAUP0529USA	1001	\$750.00	\$4,563.92
09/08 27	10605076	NAUP0529USA	8021	\$40.00	\$4,523.92
09/08 28	10605077	ADTP0119USA	1001	\$750.00	\$3,773.92
09/08 29	10605077	ADTP0119USA	8021	\$40.00	\$3,733.92
09/08 31	10605078	WSP0024USA	1001	\$750.00	\$2,983.92
09/08 32	10605078	WSP0024USA	8021	\$40.00	\$2,943.92
09/08 41	PAYMENT		9203	-\$9,926.00	\$12,869.92
09/09 26	10605094	ALIP0031USA	1001	\$750.00	\$12,119.92
09/09 27	10605094	ALIP0031USA	8021	\$40.00	\$12,079.92
09/09 28	10605095	MTKP0081USA	1001	\$750.00	\$11,329.92
09/09 29	10605095	MTKP0081USA	1202	\$126.00	\$11,203.92
09/09 30	10605095	MTKP0081USA	8021	\$40.00	\$11,163.92
09/09 31	10605096	MSCP0004USA	1001	\$750.00	\$10,413.92
09/09 32	10605096	MSCP0004USA	8021	\$40.00	\$10,373.92
09/09 33	10605097	MTKP0054USA	1001	\$750.00	\$9,623.92
09/09 34	10605097	MTKP0054USA	8021	\$40.00	\$9,583.92
09/09 35	10605098	MSCP0005USA	1001	\$750.00	\$8,833.92
09/09 36	10605098	MSCP0005USA	8021	\$40.00	\$8,793.92
09/10 30	10605120	MTKP0076USA	1001	\$750.00	\$8,043.92
09/10 31	10605120	MTKP0076USA	8021	\$40.00	\$8,003.92
09/10 32	10605121	ASTP0035USA	1001	\$750.00	\$7,253.92
09/10 33	10605121	ASTP0035USA	8021	\$40.00	\$7,213.92
09/10 85	10604456	WSP0023USA	8021	\$40.00	\$7,173.92
09/10 86	10604224	CHEP0024USA	8021	\$40.00	\$7,133.92
09/10 87	10604352	KYCP0009USA	8021	\$40.00	\$7,093.92
09/10 88	10604357	PMXP0161USA	8021	\$40.00	\$7,053.92
09/10 89	10604599	REAP0018USA	8021	\$40.00	\$7,013.92
09/10 90	10604567	MTKP0085USA	8021	\$40.00	\$6,973.92
09/10 91	10604568	CMDP0005USA	8021	\$40.00	\$6,933.92
09/10 95	10250278	ASTP0031USA	8021	\$40.00	\$6,893.92
09/12 36	10605165	MTKP0047USA	1001	\$750.00	\$6,143.92
09/12 37	10605165	MTKP0047USA	8021	\$40.00	\$6,103.92
09/12 39	10605166	VIAP0065USA	1001	\$750.00	\$5,353.92

09/12 40	10605166	VIAP0065USA	8021	\$40.00	\$5,313.92
09/12 119	09731837	API072	8021	\$40.00	\$5,273.92
09/15 11	PAYMENT		9203	-\$24,566.00	\$29,839.92
09/15 38	60481374	ASTP0042USA	1005	\$160.00	\$29,679.92
09/15 39	10605193	VIAP0063USA	1001	\$750.00	\$28,929.92
09/15 40	10605193	VIAP0063USA	8021	\$40.00	\$28,889.92
09/15 42	10605194	ACMP0128USA	1001	\$750.00	\$28,139.92
09/15 43	10605194	ACMP0128USA	8021	\$40.00	\$28,099.92
09/15 44	10605195	MTKP0074USA	1001	\$750.00	\$27,349.92
09/15 45	10605195	MTKP0074USA	1202	\$36.00	\$27,313.92
09/15 46	10605195	MTKP0074USA	1201	\$84.00	\$27,229.92
09/15 47	10605195	MTKP0074USA	8021	\$40.00	\$27,189.92
09/15 48	10605196	CNTP0007USA	2001	\$375.00	\$26,814.92
09/15 49	10605196	CNTP0007USA	8021	\$40.00	\$26,774.92
09/15 51	10605197	AMIP0020USA	2001	\$375.00	\$26,399.92
09/15 52	10605197	AMIP0020USA	8021	\$40.00	\$26,359.92
09/16 13	10605213	NTCP0011USA	1001	\$750.00	\$25,609.92
09/16 14	10605213	NTCP0011USA	8021	\$40.00	\$25,569.92
09/16 22	10605217	NTCP0021USA	1001	\$750.00	\$24,819.92
09/16 23	10605217	NTCP0021USA	8021	\$40.00	\$24,779.92
09/17 1	10605231	FTCP0026USA	2001	\$375.00	\$24,404.92
09/17 2	10605231	FTCP0026USA	8021	\$40.00	\$24,364.92
09/17 3	10605232	TOPP0009USA	1001	\$750.00	\$23,614.92
09/17 4	10605232	TOPP0009USA	8021	\$40.00	\$23,574.92
09/17 5	10605233	TOPP0009USA	1001	\$750.00	\$22,824.92
09/17 6	10605233	TOPP0009USA	8021	\$40.00	\$22,784.92
09/17 7	10605234	NTCP0004USA	1001	\$750.00	\$22,034.92
09/17 8	10605234	NTCP0004USA	8021	\$40.00	\$21,994.92
09/17 9	10605235	EMEP0010USA	2001	\$375.00	\$21,619.92
09/17 10	10605235	EMEP0010USA	8021	\$40.00	\$21,579.92
09/17 185	10248749	NAUP0517USA	1501	\$1,300.00	\$20,279.92
09/17 186	10248749	NAUP0517USA	1504	\$300.00	\$19,979.92
09/18 9	PAYMENT		9203	-\$6,365.00	\$26,344.92
09/18 20	10605250	PMXP0159USA	1001	\$750.00	\$25,594.92
09/18 21	10605250	PMXP0159USA	8021	\$40.00	\$25,554.92
09/18 25	10605252	ACMP0032USA	1001	\$750.00	\$24,804.92
09/18 26	10605252	ACMP0032USA	8021	\$40.00	\$24,764.92
09/19 34	10605270	ALIP0034USA	1001	\$750.00	\$24,014.92
09/19 35	10605270	ALIP0034USA	8021	\$40.00	\$23,974.92
09/19 37	10605271	ACMP0121USA	1001	\$750.00	\$23,224.92
09/19 38	10605271	ACMP0121USA	8021	\$40.00	\$23,184.92
09/19 40	10605272	CHEP0028USA	2001	\$375.00	\$22,809.92
09/19 41	10605272	CHEP0028USA	8021	\$40.00	\$22,769.92
09/19 43	10605273	WISP0029USA	1001	\$750.00	\$22,019.92
09/19 44	10605273	WISP0029USA	1201	\$168.00	\$21,851.92
09/19 45	10605273	WISP0029USA	8021	\$40.00	\$21,811.92
09/19 46	60481398	ASTP0044USA	1005	\$160.00	\$21,651.92
09/22 59	29190171	MSCP0012USA	1002	\$330.00	\$21,321.92
09/23 3	10006218	CHAN3124/EM	1251	\$110.00	\$21,211.92
09/23 18	10604225	ADTP0054USA	1202	\$18.00	\$21,193.92
09/23 35	10605324	REAP0007USA	1001	\$750.00	\$20,443.92
09/23 36	10605324	REAP0007USA	8021	\$40.00	\$20,403.92
09/23 45	10605327	REAP0004USA	1001	\$750.00	\$19,653.92
09/23 46	10605327	REAP0004USA	8021	\$40.00	\$19,613.92

09/23 47	10605329	WISP0035USA	1001	\$750.00	\$18,863.92
09/23 48	10605329	WISP0035USA	1202	\$36.00	\$18,827.92
09/23 49	10605329	WISP0035USA	8021	\$40.00	\$18,787.92
09/24 1	PAYMENT		9203	-\$33,419.00	\$52,206.92
09/24 122	5098110		1553	\$3,150.00	\$49,056.92
09/24 169	10064631	ACMP0022USA4	1814	\$110.00	\$48,946.92
09/25 6	10006218	CHAN3124/EM	1251	\$110.00	\$48,836.92
09/25 79	29190171	MSCP0012USA	8021	\$40.00	\$48,796.92
09/26 13	10605377	LKSP0022USA	1001	\$750.00	\$48,046.92
09/26 14	10605377	LKSP0022USA	8021	\$40.00	\$48,006.92
09/26 23	PAYMENT		9203	-\$9,150.00	\$57,156.92
09/26 183	10064458	CMOP0007USA	1501	\$1,300.00	\$55,856.92
09/26 184	10064458	CMOP0007USA	1504	\$300.00	\$55,556.92
09/26 185	09682339	ADTP-0023USA	1501	\$1,300.00	\$54,256.92
09/26 186	09682339	ADTP-0023USA	1504	\$300.00	\$53,956.92
09/26 190	10064515	MTKP0017USA	1501	\$1,300.00	\$52,656.92
09/26 191	10064515	MTKP0017USA	1504	\$300.00	\$52,356.92
09/26 192	09682309	APIP0107USA	1501	\$1,300.00	\$51,056.92
09/26 193	09682309	APIP0107USA	1504	\$300.00	\$50,756.92
09/26 194	10063749	ADTP0031USA	1501	\$1,300.00	\$49,456.92
09/26 195	10063749	ADTP0031USA	1504	\$300.00	\$49,156.92
09/26 196	10065292	ATCP0001USA	1501	\$1,300.00	\$47,856.92
09/26 197	10065292	ATCP0001USA	1504	\$300.00	\$47,556.92
09/26 198	09541007	AVI-8826	1501	\$1,300.00	\$46,256.92
09/26 199	09541007	AVI-8826	1504	\$300.00	\$45,956.92
09/30 12	10605416	CMOP0024USA	1001	\$750.00	\$45,206.92
09/30 13	10605416	CMOP0024USA	8021	\$40.00	\$45,166.92
09/30 14	10605418	MTKP0093USA	1001	\$750.00	\$44,416.92
09/30 15	10605418	MTKP0093USA	8021	\$40.00	\$44,376.92
09/30 18	10605419	LKSP0020USA	1001	\$750.00	\$43,626.92
09/30 19	10605419	LKSP0020USA	1201	\$84.00	\$43,542.92
09/30 20	10605419	LKSP0020USA	8021	\$40.00	\$43,502.92
09/30 23	10605422	ACMP0129USA	1001	\$750.00	\$42,752.92
09/30 24	10605422	ACMP0129USA	8021	\$40.00	\$42,712.92
09/30 32	10605427	MTKP0065USA	1001	\$750.00	\$41,962.92
09/30 33	10605427	MTKP0065USA	1202	\$36.00	\$41,926.92
09/30 34	10605427	MTKP0065USA	8021	\$40.00	\$41,886.92
09/30 35	10605428	MTKP0101USA	1001	\$750.00	\$41,136.92
09/30 36	10605428	MTKP0101USA	1202	\$180.00	\$40,956.92
09/30 37	10605428	MTKP0101USA	8021	\$40.00	\$40,916.92

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$29,327.92	\$72,095.00	\$83,684.00	\$40,916.92

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